

Flipping contraction in Kähler MMP reading notes

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1 Overview

The aim of this note is to prove the existence of flipping contraction in the Kähler MMP.

2 Das-Hacon's approach to flipping contraction for Kähler 3-fold MMP

In this section, we will prove the following theorem.

Theorem 1 ([[DH24](#), Theorem 6.9]). Let (X, B) be a strong \mathbb{Q} -factorial Kähler 3-fold KLT pair. With the following condition holds

1. $K_X + B$ is pseudo-effective
2. $\alpha = [K_X + B + \beta]$ is nef and big class such that β is Kähler,
3. The negative extremal ray $R = \overline{\text{NA}}(X) \cap \alpha^\perp$ is flipping type. (that is $\dim \text{Null}(\alpha) < n - 1$)

Then there exists an α -trivial flipping contraction

$$f : X \rightarrow Z,$$

such that there exist some Kähler form α_Z on Z such that $\phi^*(\alpha_Z) = \alpha$. And the flip exist.

Remark 2. Before proving the theorem, let us briefly sketch the idea of the proof. By definition, the null locus has high codimension, therefore α as a big and nef class is actually modified Kähler. Using Fujita approximation type modification, we will find some

$$\nu : X' \rightarrow X,$$

such that

$$\alpha' = \nu^*(\alpha) = \omega' + G',$$

for some $G' \geq 0$ and $\text{supp}(G') = \text{Ex}(\nu) = \lfloor \Delta' \rfloor$. Our next goal is try to construct a α' -trivial $(K_{X'} + \Delta' + t\omega' + a\alpha')$ -MMP:

$$X' \dashrightarrow X^1 \dashrightarrow \dots \dashrightarrow X^+,$$

satisfying the following properties:

- (1) The MMP terminate at a place such that for all $0 < t \leq \epsilon$, there exist some $a(t) \gg 0$ with

$$K_{X^+} + \Delta^+ + t\omega^+ + a(t)\alpha^+,$$

is nef,

- (2) The MMP descend to a small bimeromorphic map $\psi : X \dashrightarrow X^+$,

- (3) The small bimeromorphic map $\psi : X \dashrightarrow X^m$ is isomorphism on $U = X - \text{Null}(\alpha)$.

Our next goal is taking the normalization of the graph of the bimeromorphic map $\psi : X \dashrightarrow X^+$, and trying to prove

$$\text{Ex}(p) = \text{Ex}(q) = E,$$

and that $-E|_{\text{supp}(E)}$ is ample. Therefore by Fujiki blowing down, we can contract the exceptional, and therefore by rigidity lemma it will induce two morphisms

$$f : X \rightarrow Z, \quad f^+ : X^+ \rightarrow Z.$$

And finally we try to show the base point freeness.

2.1 Apply the DLT modification

Differ from the divisorial contraction, we can not insert the null locus as divisor into the boundary. Instead, we resolve the modified Kähler class onto the higher model $\nu : X' \rightarrow X$, and some effective divisor G' out, such that it record all the exceptional information $\text{supp}(G') = \text{Ex}(\nu)$. To be more precise, we have the following proposition.

Lemma 3. Let (X, B) be an strong \mathbb{Q} -factorial compact normal KLT pair. satisfies condition (1)-(3) in the Theorem 1. Then there exists a modification

$$\nu : X' \rightarrow X,$$

with $\Delta' = \nu_*^{-1}(B) + \text{Ex}(\nu)$ such that the following condition holds,

- (1) (X', Δ') is a DLT pair, and X' is strong \mathbb{Q} -factorial variety,
- (2) The pull back class

$$\alpha' = \nu(\alpha) = \omega' + G',$$

such that $G' \geq 0$ and $\text{supp}(G') = \text{Ex}(\nu) = \lfloor \Delta' \rfloor$,

- (3) The class ω' is Kähler and α' is nef,
- (4) There exist some $a > 0$ and $t > 0$ such that $K_{X'} + \Delta' + t\omega' + a\alpha'$ is Kähler.
- (5) Under the log resolution

$$K_{X'} + \Delta' = \nu^*(K_X + B) + E,$$

with E being effective and $\text{supp}(E) = \text{Ex}(\nu)$.

The idea is simple, since $\dim \text{Null}(\alpha) = 1$ the non-Kähler locus contains no divisor. And therefore α is actually a modified Kähler class, we can take some modification so that

$$\alpha' = \nu^*(\alpha) = \omega' + G'.$$

We will discuss more on how to find such modification in the proof below. Since ω' is Kähler, given any $a > 0$, we can always find some $t \gg 0$ such that $K_{X'} + \Delta' + t\omega' + a\alpha'$ is nef.

Proof. Let us write more details on how to find the modification ν .

□

2.2 Find a negative extremal ray on one of the component $S' \subset \lfloor \Delta' \rfloor$

Let $S' \subset \lfloor \Delta' \rfloor$, we define the

$$\tau_{S'} = \inf\{s \geq 0 \mid K_{S'} + \Delta' + s\omega' + a\alpha' \text{ is nef for } s > 0\}.$$

Before proving the theorem, let us first briefly discuss what infimum means? By definition of infimum, the following two conditions are satisfied:

- (1) If $t' < \tau_{S'}$, then for any $a' > 0$, the $K_{S'} + \Delta_{S'} + t'\omega' + a'\alpha'$ is not nef,
- (2) For any $t' > \tau_{S'}$, there exist some $\tau_{S'} \leq t'' < t'$ such that $K_{S'} + \Delta_{S'} + t''\omega' + a(t')\alpha'$ is nef.

These two conditions for infimum will be important in the proposition below.

Proposition 4. Let $\tau_{S'} > 0$, then there exists a $(K_{S'} + \Delta_{S'})$ -negative extremal ray R , such that

$$(K_{S'} + \Delta_{S'} + \tau_{S'}\omega_{S'}) \cdot R = \alpha_{S'} \cdot R = 0,$$

and for any $a' \gg 0$, the $K_{S'} + \Delta_{S'} + \tau_{S'}\omega_{S'} + a'\alpha_{S'}$ is nef.

PROOF IDEA 5. The idea is to apply the cone theorem on the surface S' . The geometry picture looks as follows

we first apply the cone theorem on S' , so that for $\tau_{S'} > 0$, the generalized Mori cone decompose into

$$\overline{\text{NA}}(S') = \overline{\text{NA}}(S')_{K_{S'} + \Delta_{S'} + \frac{\tau_{S'}}{2}\omega_{S'}} + \sum_{i=1}^r \mathbb{R}_+[\Sigma_i]$$

then we define try to find the extremal place for the α' -supporting class say $\sigma_{S'}$.

We first define the set of negative extremal ray lies on the α' -supporting class

$$I = \{i \mid \alpha_{S'} \cdot \Sigma_i = 0\}$$

Here

$$\sigma_{S'} = \min\{s \geq \frac{\tau_{S'}}{2} \mid (K_{S'} + \Delta_{S'} + s\omega_{S'}) \cdot \Sigma_i \geq 0, \quad \Sigma_i \in I\}$$

(By finiteness in the cone theorem, the minimal is attainable). Note that since α' is nef, this in particular will imply that

$$(K_{S'} + \Delta_{S'} + \sigma_{S'}\omega_{S'} + a'\alpha') \cdot \Sigma_i \geq 0, \quad \forall 1 \leq i \leq r$$

Easy to see by definition that $\sigma_{S'} \leq \tau_{S'}$ (by definition of infimum $\tau_{S'}$), only need to show that $\tau_{S'} = \sigma_{S'}$. Then easy to see from the picture above, that there exist some negative extremal ray R such that

$$(K_{S'} + \Delta_{S'} + (\tau_{S'}/2) \cdot \omega_{S'}) \cdot R = 0 = (K_{S'} + \Delta_{S'} + \tau_{S'}\omega_{S'}) \cdot R = \alpha'_{S'} \cdot R$$

which is precisely what we want.

Only needs to show that $\sigma_{S'} = \tau_{S'}$. We will prove it by contradiction. If $\sigma_{S'} < \tau_{S'}$, we try to show that

- (1) $K_{S'} + \Delta_{S'} + \sigma_{S'}\omega_{S'} + a'\alpha_{S'}$ is non-negative on $\sum_{i=1}^k \mathbb{R}_+[\Sigma_i]$ for $a' \gg 0$,
- (2) $K_{S'} + \Delta_{S'} + (\tau_{S'} - \epsilon)\omega_{S'} + a'\alpha_{S'}$ is non-negative on the part $\overline{\text{NA}}(S')_{K_{S'} + \Delta_{S'} + \frac{\tau_{S'}}{2}\omega_{S'}}$.

Combined these two, immediate implies the nefness of $K_{S'} + \Delta_{S'} + \tau_{S'}\omega_{S'} + a'\alpha_{S'}$ for $a' \gg 0$.

Proof. We first prove that $\sigma_{S'} \leq \tau_{S'}$. For otherwise by definition of nef threshold $\tau_{S'}$, there exist some $\tau_{S'} \leq t' < \sigma_{S'}$ such that for some a' we have

$$K_{S'} + \Delta_{S'} + t'\omega_{S'} + a'\alpha'$$

is nef. In particular, we have

$$(K_{S'} + \Delta_{S'} + t'\omega_{S'}) \cdot \Sigma_i \geq 0, \quad \forall i \in I$$

In particular, this means that

$$\sigma_{S'} \leq t' < \sigma_{S'}$$

a contradiction. Therefore $\sigma_{S'} \leq \tau_{S'}$.

We then prove $K_{S'} + \Delta_{S'} + (\tau_{S'} - \epsilon)\omega_{S'} + a'\alpha_{S'}$ is non-negative on the part $\overline{\text{NA}}(S')_{K_{S'} + \Delta_{S'} + \frac{\tau_{S'}}{2}\omega_{S'}}$ using some convex combination argument. Let

$$\eta_{x,y} = K_{S'} + \Delta_{S'} + x\omega_{S'} + y\alpha',$$

note that by our previous discussion, $\eta_{t,a}$ is nef.

Since we can express

$$\eta_{\tau_{S'} - \epsilon, a'} = A\eta_{s,t} + B\eta_{\frac{\tau_{S'}}{2}, 0} + C\alpha_{S'},$$

for some $A, B, C > 0$ when $a' \gg 0$.

This immediately implies that $\eta_{\tau_{S'}/2 - \epsilon, a'}$ is non-negative on $\overline{\text{NA}}(S')_{K_{S'} + \Delta_{S'} + \frac{\tau_{S'}}{2}\omega_{S'}}$.

On the other hand, we know that $(K_{S'} + \Delta_{S'} + \sigma_{S'}\omega_{S'} + a'\alpha_{S'}) \cdot \Sigma_i \geq 0$, $\forall 1 \leq i \leq k$ when $a' \gg 0$.

Therefore if $\sigma_{S'} < \tau_{S'}$ we will get contradiction. The only possible case, therefore is $\tau_{S'} = \sigma_{S'}$, which clearly implies the result. □

Let $\tau = \max_{S'} \tau_{S'}$, we claim

Proposition 6. If $\tau > 0$, then there exists some $a' \gg 0$, we have $K_{X'} + \Delta' + \tau\omega' + a'\alpha'$ is nef.

Remark 7. Why this result is interesting? Since it relates the nef threshold for S' with the nef threshold for the ambient space. We will apply some convex combination trick in the proof.

PROOF IDEA 8. We will prove it by contradiction. If for all fixed $a' \gg 0$, the $K_{X'} + \Delta' + \tau\omega' + a'\alpha'$ is not nef. Then (by characterization theorem of nefness) there exists some subvariety Z' such that the restriction $(K_{X'} + \Delta' + \tau\omega' + a'\alpha)|_{Z'}$ is not pseff.

Now we claim that the subvariety Z' lies in some component $S' \subset \text{Ex}(\nu)$. Indeed we try to show that $Z' \subset \text{supp } G'$.

We try to show that $\tau_{S'} > 0$ for that S' contains Z' . For otherwise, this will contradict to the fact that $\tau > 0$, using the infimum of $\tau_{S'}$.

Proof. We prove it by contradiction, if for all $a' \gg 0$, that $K_{X'} + \Delta' + \tau\omega' + a'\alpha'$ is not nef. We choose a' as follows:

(1) First for those $S' \subset \text{supp}(\Delta')$, with $\tau_{S'} > 0$, by previous proposition. there exist some $a_{S'}$ such that $a' \geq a_{S'}$ then $K_{S'} + \Delta_{S'} + \tau_{S'}\omega_{S'} + a'\alpha'$ is nef.

(2) For those $\tau_{S'} = 0$, since $\tau > 0$, there exist some $a_{S', \tau}$ such that $a' \geq a_{S', \tau}$ then $K_{S'} + \Delta_{S'} + \tau\omega + a'\alpha'$ is nef.

We choose $a' \geq \max\{a_{S', \tau}, a_{S'}\}$. Then for any such a' , we have $K_{X'} + \Delta' + \tau\omega' + a'\alpha'$ is not nef. Therefore, there exist some $Z' \subset X$ such that

$$(K_{X'} + \Delta' + a'\alpha' + \tau\omega')|_{Z'},$$

is not pseff.

Since $\alpha' = \omega' + G'$, we have

$$K_{X'} + \Delta' + a'\alpha' + \tau\omega' = (K_{X'} + \Delta' + t\omega' + (a' - t + \tau)\alpha') + (t - \tau)G',$$

note that for $a' \gg 0$, the 1st term on the RHS is nef, therefore the only possible case is $Z' \subset \text{supp}(G')$. Hence, there exist some component $S' \subset \text{supp}(G')$ such that $K_{S'} + \Delta_{S'} + \tau\omega_{S'} + a'\alpha_{S'}$ is not nef.

We finish the proof by contradiction:

(Case 1) $\tau_{S'} = 0$, then by definition of nef threshold, the given $\tau > 0$, there exist some $a' \geq a'' \gg 0$ such that

$$K_{S'} + \Delta_{S'} + \tau\omega_{S'} + a''\alpha_{S'}$$

is nef. Therefore, we get the contradiction.

(Case 2) $\tau_{S'} > 0$, in this case, by Proposition 4, we know that

$$K_{S'} + \Delta_{S'} + \tau_{S'}\omega_{S'} + a'\alpha'$$

is nef for some sufficient large a' . Since $\tau \geq \tau_{S'}$, this is also true for $K_{S'} + \Delta_{S'} + \tau\omega_{S'} + a'\alpha'$, and gets the contradiction.

Therefore, by Proposition 4, we have

$$K_{S'} + \Delta_{S'} + \tau_{S'}\omega_{S'} + a'\alpha_{S'}$$

is nef. Since $\tau \geq \tau_{S'}$, this will imply that $K_{S'} + \Delta_{S'} + \tau\omega_{S'} + a'\alpha_{S'}$ is nef. Contradiction again. \square

2.3 Run the MMP $\phi : X' \dashrightarrow X^+$

Next, we try to extend to the contraction from $S' \rightarrow T'$ to $X' \rightarrow Z'$.

Theorem 9. There exists a sequence of MMP

$$(X', \Delta') \dashrightarrow (X^1, \Delta^1) \dashrightarrow \cdots \dashrightarrow (X^+, \Delta^+),$$

such that when terminates $\tau_{X^+} = 0$.

PROOF IDEA 10. The idea is not hard, we try to apply the PLT contraction theorem. By Proposition 4, if $\tau > 0$, then we can find some negative extremal ray on some surfaces S' , we can apply usual trick to replace the surface to some new S' such that $S' \cdot R < 0$.

and the extremal contraction $S' \rightarrow T'$, then under certain condition we can extend the contraction onto X' . To achieve this, we need to check the following conditions hold:

- (1) The pair $(X', (1 - \epsilon)(\Delta' - S') + S' + \omega')$ is PLT pair,
- (2) Kählerness of $-(K_{X'} + (1 - \epsilon)(\Delta' - S') + S')$ over T , since intersection $(K_{X'} + \Delta') \cdot R_i < 0$.
- (3) ampleness $-S'|_{S'}$ over T' . (Since $S' \cdot R < 0$).

Proof. We prove it by induction on the steps of the MMP. Assume that we already run the MMP to i-th step

$$(X', \Delta') \dashrightarrow (X^1, \Delta^1) \dashrightarrow \cdots \dashrightarrow (X^i, \Delta^i).$$

If $\tau_{X_i} = 0$, then we are done. Otherwise, by definition of τ_{X_i} , there exist some components $S^i \subset [\Delta^i]$, such that $\tau_{S^i} > 0$. By Proposition 4, there exists some negative extremal ray $R_i \in \overline{\text{NA}}(S^i)$ such that

$$(K_{S^i} + \Delta_{S^i} + \tau_{S^i} \omega_{S^i}) \cdot R_i = \alpha_{S^i} \cdot R_i = 0.$$

We try to replace S^i by \bar{S}^i such that R_i is $K_{\bar{S}^i} + \Delta_{\bar{S}^i}$ -negative and $\bar{S}^i \cdot R_i < 0$. (The similar argument appears also in the divisorial contraction case). Since $\alpha' \cdot \Sigma_i = (\omega' + G') \cdot \Sigma_i = 0$ this means that $G' \cdot \Sigma_i < 0$ and therefore $\Sigma_i \subset \bar{S}^i \subset \text{Supp}(G')$. Note that $F = \alpha_{\bar{S}^i} \cap \overline{\text{NA}}(\bar{S}^i)$ is negative extremal face. And $\Sigma \in F$. Therefore there exist a set of extremal ray of F span Σ_i . And therefore exists some extremal ray

$$\bar{\Sigma}_i \cdot \bar{S}^i < 0, \alpha' \cdot \bar{\Sigma}_i = 0 \implies (K_{\bar{S}^i} + \Delta_{\bar{S}^i}) \cdot \bar{\Sigma}_i < 0$$

Therefore it follows that $-(K_{\bar{S}^i} + \Delta_{\bar{S}^i})$ is ample and $-\bar{S}^i|_{\bar{S}^i}$ is ample. And we can apply the PLT contraction theorem. □

The following properties hold for the MMP above

Proposition 11.

- (1) There exist some $\epsilon > 0$, for all $0 \leq t < \epsilon$, $K_{X^+} + \Delta^+ + t\omega^+ + a(t)\alpha^+$ is nef for some $a(t)$.
- (2) The MMP step is proper over $U = X - \text{Null}(\alpha)$, that is in the following diagram ν_i is proper morphism over U , and the i-th step of the MMP is ν_i -vertical,
- (3) The induced bimeromorphic map $\psi : X^+ \dashrightarrow X$ is small, and it's isomorphism over U .

Proof. For (1), note that there exist some $\epsilon > 0$ and $a > 0$ such that $K_{X^+} + \Delta^+ + \epsilon\omega^+ + a\alpha^+$ is nef. We claim that for any $0 < t' < \epsilon$ there exists some $a(t') > 0$ such that

$$K_{X^+} + \Delta^+ + t'\omega^+ + a(t')\alpha^+,$$

is nef.

We prove it by contradiction. If exists some $0 < t' < \epsilon$, such that for all $a \gg 0$, $K_{X^+} + \Delta^+ + t'\omega^+ + a\alpha^+$ is not nef. Then there exists some subvariety Z^+ such that $(K_{X^+} + \Delta^+ + t'\omega^+ + a(t')\alpha^+)|_{Z^+}$ is not pseudo effective. Using the convex combination argument, there exists some component $S^+ \subset \text{supp}(G^+)$ such that $Z^+ \subset S^+$, and therefore $(K_{S^+} + \Delta_{S^+} + t'\omega_{S^+} + a\alpha_{S^+})|_{Z^+}$ is not pseudo-effective. Since $\tau_{X^+} = \tau_{S^+} = 0$, therefore for the given $t' > 0$, there exists some $a > 0$ such that

$$K_{X^+} + \Delta^+ + t'\omega^+ + a\alpha^+,$$

is nef, which gives the contradiction.

We prove (2) by induction on the steps of the MMP. Assume that the i-th step of MMP is proper over U , that is $\nu_U^i : X_U^i \rightarrow U$ is a proper morphism. We try to show that the curves C being

contracted by $X^i \rightarrow Z^i$ is ν_U^i -vertical for $C_U = C \cap X_U^i$. By construction, the curve C being contracted by $X^i \rightarrow Z^i$ iff $C \cdot \alpha^i = 0$. If we take

Now we prove (3). The idea is to use the Zariski decomposition. We want to show that

$$N_\sigma(K_{X'} + \Delta' + a\alpha' + \epsilon\omega') = \text{Ex}(\nu),$$

Since we have shown that the MMP will terminate at □

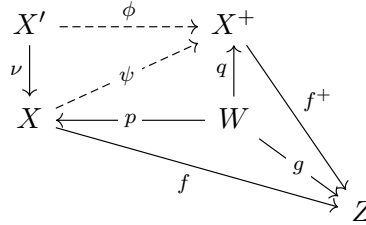
2.4 Find the contraction $f : X \rightarrow Z$

In this step, we take the normalization on the graph of the map $\psi : X \dashrightarrow X^+$. Let $\eta := \alpha + \delta(K_X + B)$, we try to show that

$$E = p^*\eta - q^*\eta^+,$$

satisfies the condition that $-E|_E$ is ample. So that we can apply the Grauert contraction theorem, and get a contraction $g : W \rightarrow Z$.

We then try to show that this contraction will induce $f : X \rightarrow Z$ and $f^+ : X^+ \rightarrow Z$ as the diagram below shows.



We then show that $f : X \rightarrow Z$ will contract every curves in the negative extremal ray R and it's a $(K_X + B)$ -negative contraction.

2.5 Prove the base point freeness

Just as what we did in the divisorial contraction case, we try to use that fact that proper bimeromorphic morphism $f : X \rightarrow Z$ between Kähler varieties with rational singularity satisfies the condition

$$\text{im}(f^*) = \{\alpha \in H_{\text{BC}}^{1,1}(X) \mid \alpha \cdot C = 0, \forall C \in N_1(X/Z)\}.$$

Then apply singular version Demailly-Păun Kählerness criterion to the big and nef class α_Z .

2.6 Prove the existence of (DLT) flips in any dimension

In this Section, we prove given a Kähler flipping contraction the DLT flip exist.

The following proposition is vital in the proof, which shows the existence of log canonical model for projective morphism between complex analytic varieties satisfying the BCHM condition.

Proposition 12. Let (X, B) be a KLT pair, and $K_X + B$ is a \mathbf{R} -Cartier divisor. Let $f : X \rightarrow Y$ be a projective morphism between complex analytic varieties. Let $W \subset Y$ be a compact subset satisfies BCHM condition (P). If $(K_X + B)$ is f -big, then the log canonical model exist on some open neighborhood of W .

PROOF IDEA 13.

Theorem 14. Let $(X, B + \beta)$ be a generalized DLT pair, such that X is compact analytic variety that belongs to the Fujiki class. Assume we have a flipping contraction $f : X \rightarrow Z$ (that is f is a small contraction morphism such that $-(K_X + B + \beta)$ is Kähler over Z and $\rho(X/Z) = 1$). Then the flip $\phi : X \dashrightarrow X^+/Z$ exists, and $f^+ : X^+ \rightarrow Z$ satisfies $(K_{X^+} + B^+ + \beta^+)$ is Kähler over Z and Picard number $\rho(X^+/Z) = 1$.

PROOF IDEA 15. The basic idea is to try to reduce the problem into the Proposition 12. To do this, we try to replace the class by some \mathbf{R} -divisor locally over some Stein open subset $W \subset Z$. We then use the fact that for a \mathbf{Q} -divisor D , if it induces a Kähler class then D is ample to prove that the flipping contraction $X \rightarrow Z$ is actually a locally projective morphism. By Proposition 12, the log canonical model exists locally, which glues to the $\phi : X \dashrightarrow X^+/Z$.

Let us briefly sketch the idea of how to prove the locally projective of the Kähler flipping contraction.

Proof.

□

3 Höring-Peternell's approach for flipping contraction

References

- [DH24] Omprokash Das and Christopher Hacon, *On the minimal model program for kähler 3-folds*, 2024.